77-25-07

Attorney's Docket No.: 17101 003006 / 801F

2129

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jerome LaPointe et al.

Art Unit : 2129

Serial No.: 10/043,356

Examiner: Wilbert L. Starks

Filed

: January 11, 2002

Conf. No.: 7695

Cust. No

: 20985

Title

: METHOD FOR SELECTING MEDICAL AND BIOCHEMICAL DIAGNOSTIC

TESTS USING NEURAL NETWORK-RELATED APPLICATIONS

#### Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### TRANSMITTAL LETTER

#### Dear Sir:

Transmitted herewith are an Information Disclosure Statement (4 pages), Form PTO-1449 (1 page), cited non U.S. patent references, copies of a Preliminary Notice of Rejection issued in connection with corresponding Japanese Patent Application No. 2005-134396 (19 pages), and a Preliminary Notice of Rejection issued in connection with corresponding Japanese Patent Application No. 2005-357564 (5 pages), and a return receipt postcard for filing in connection with the above-identified application. Because this Information Disclosure Statement is filed prior to receipt of a first office action on the merits in the above-referenced application, no fee is due.

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The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Stephanie Seigt Reg. No. 33,709

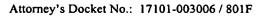
Attorney Docket No. 17101-003006 / 801F Address all correspondence to:

Stephanie Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-4777

Facsimile: (202) 626-7796 email: seidman@fr.com

> CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 471537513 US

Date of Deposit July 23, 2007. I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indepted above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office P.O. Box 1450, Alexandria, VA, 2321.1450 22313-1450.



: 2129



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jerome LaPointe et al.

Art Unit

Serial No.: 10/043,356 Examiner: Wilbert L. Starks

Filed : January 11, 2002 Conf. No. : 7695

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Title : METHOD FOR SELECTING MEDICAL AND BIOCHEMICAL DIAGNOSTIC

TESTS USING NEURAL NETWORK-RELATED APPLICATIONS

#### Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§1.97-1.98

#### Dear Sir:

Because this Supplemental Information Disclosure Statement is filed before the receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all information known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) and copies of the cited documents are provided herewith in connection with the above-captioned application.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 471537513 US Date of Deposit July 23, 2007.

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

Applicant: Jerome LaPointe et al.

Serial No.: 10/043,356 Filed: January 11, 2002 Attorney's Docket No.: 17101-003006 / 801F Supplemental Information Disclosure Statement

The documents cited on the Forms PTO-1449 are not in the English language. Items AB and AC (Japanese Patent Application Publications JP 02-195935 and JP 05-012351, respectively) are in the Japanese language and are supplied with Certified English translations (items AD and AE, respectively). Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

In accordance with the requirements of 37 C.F.R. §1.98, copies of a Japanese Preliminary Notice of Rejection (Document I), issued March 13, 2007, in connection with corresponding Japanese Patent Application No. 2005-134396; a Japanese Preliminary Notice of Rejection (Document II), issued April 10, 2007, in connection with corresponding Japanese Patent Application No. 2005-357564; and the Partial English Translations of the Official Actions are provided for consideration by the Examiner. Japanese Patent Application Nos. 2005-134396 and 2005-357564 are divisionals of Japanese Patent No. 3782792 (issued March 17, 2006), which is a divisional of Japanese Patent No. 3480940 (issued October 10, 2003). The table below lists Documents I-II and includes a column that provides a space next to each document to be considered, for the Examiner's initials.

Examiner Initial	Document No.	Document
/WS/	I	Copy of Japanese Preliminary Notice of Rejection (and Partial English Translation), issued March 13, 2007, in connection with corresponding Japanese Patent Application No. 2005-134396
/WS/	II	Copy of Japanese Preliminary Notice of Rejection (and Partial English Translation), issued April 10, 2007, in connection with corresponding Japanese Patent Application No. 2005-357564

Applicant: Jerome LaPointe et al.

Attorney's Docket No.: 17101-003006 / 801F
Serial No.: 10/043,356

Supplemental Information Disclosure Statement

Serial No.: 10/043,356 Filed: January 11, 2002

Further to the list provided in the communication mailed on April 23, 2002, applicant also makes known to the Examiner the following pending U.S. and International Applications that have one or more common inventors and/or are commonly owned:

U.S.S.N.	Filing Date	Publ. No.	Publ. Date	Docket No.
10/877,122	06/25/2004	2004-0241752	12/02/2004	813E
11/219,216	09/02/2005	2006-0014302	01/19/2006	813F
11/218,807	09/02/2005	2006-0008923	01/12/2006	813G
10/774,144	02/06/2004	2004-0266025	12/30/2004	826
11/193,857	07/29/2005	2006-0024725	02/02/2006	827
11/193,771	07/29/2005	2006-0024722	02/02/2006	828
11/193,806	07/29/2005	2006-0024724	02/02/2006	829
11/193,789	07/29/2005	2006-0024723	02/02/2006	830
11/193,561	07/29/2005	2006-0024757	02/02/2006	831

International App. No.	Filing Date	Docket No.
PCT/US97/02104	02/07/1997	801PC
PCT/US99/02282	02/03/1999	813PC
PCT/US04/003428	02/06/2004	826PC
PCT/US05/027183	07/29/2005	830PC
PCT/US99/20724	09/10/1999	832PC
PCT/US94/13299	11/18/1994	2102PC

Although these applications and documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the information, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97 (g and h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56 (b) exists.

Applicant: Jerome LaPointe et al.

Serial No.: 10/043,356

Filed: January 11, 2002

Attorney's Docket No.: 17101-003006 / 801F Supplemental Information Disclosure Statement

Applicant respectfully requests that the Examiner review the foregoing documents and make them of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie Seidman

Reg. No. 93,779

Attorney Docket No. 17101-003006 / 801F
Address all correspondence to:
Stephanie Seidman
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12390 El Camino Real

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rm PTO-1449

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 17101-003006 / 801F Application No. 10/043,356

List of Patents and Publications for Applicant's **Information Disclosure Statement** 

Applicant Jerome LaPointe et al.

Filing Date

Group Art Unit

(37 CFR §1.98(b))

January 11, 2002

2129

**U.S. Patent Documents** 

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	None					

····	Foreign Patent Documents or Published Foreign Patent Applications												
Examiner	Desig.	Document	Publication	Country or			Trans	slation					
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No					
/WS/	AB	02-195935	08/02/90	JР			x						
/WS/	AC	05-012351	01/22/93	JР			х						

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
/WS/	AD	Certified English Translation of Japanese Patent Application No. 02-195935, "Medical Information Processing System."
/WS/	AE	Certified English Translation of Japanese Patent Application No. 05-012351, "Diagnosis Support System."

**Examiner Signature** 

/Wilbert L. Starks, Jr./

Date Considered

09/17/2007

Applicant:

LaPointe et al.

Serial No.:

10/043,356

Filed:

January 11, 2002

For:

METHOD FOR SELECTING MEDICAL AND BIOCHEMICAL DIAGNOSTIC TESTS USING NEURAL NETWORK-RELATED

APPLICATIONS .

Art Unit:

2122

Examiner:

Unassigned

#### TRANSMITTAL LETTER

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, Forms PTO-1449 (4 pages) and a cited reference for filling in connection with the above-identified application. Because this Information Disclosure Statement is filed prior to receipt of a First Office Action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 50-1213, as stated below:

The Commissioner is hereby authorized to charge any fees that may be due under 37 C.F.R. §§1.16-1.17 in connection with this paper or with this application during its entire pendency to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,

HELLER, EHRMAN, WHITE & MCAULIFFE LLP

By:

Stephanie L. Seidman Registration No. 33,779

Attorney Docket No. 24727-0801F Address all correspondence to: Stephanie L. Seidman HELLER, EHRMAN, WHITE & MCAULIFFE LLP 4350 La Jolla Village Drive, 7th floor San Diego, CA 92122-1246

Telephone: (858) 450-8400 Facsimile: (858) 587-5360 EMAIL: sseidman@hewm.com

Applicant:

LaPointe et al.

Serial No.:

10/043,356

Filed:

January 11, 2002

For:

METHOD FOR SELECTING MEDICAL AND BIOCHEMICAL DIAGNOSTIC TESTS USING NEURAL NETWORK-RELATED

**APPLICATIONS** 

Art Unit:

2122

Examiner:

Unassigned

TRANSMITTAL LETTER

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Transmitted herewith are a Supplemental Information Disclosure Statement, Forms PTO-1449 (4 pages) and a cited reference for filing in connection with the above-identified application. Because this Information Disclosure Statement is filed prior to receipt of a First Office Action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filling these papers is required, the Commissioner is authorized to charge Deposit Account No. 50-1213, as stated below:

The Commissioner is hereby authorized to charge any fees that may be due under 37 C.F.R. §§1.16-1.17 in connection with this paper or with this application during its entire pendency to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

> Respectfully submitted, HELLER, EHRMAN, WHITE & MCAULIFFE LLP

By:

Stephanie L. Seldman Registration No. 33,779

Attorney Docket No. 24727-0801F Address all correspondence to: Stephanie L. Seidman HELLER, EHRMAN, WHITE & MCAULIFFE LLP 4350 La Jolla Village Drive, 7th floor San Diego, CA 92122-1246 Telephone: (858) 450-8400

Facsimile: (858) 587-5360 EMAIL: sseidman@newm.com

ApplicantLaPointe et al.

Serial No.: 10/043,356

Filed:

January 11, 2002

Confirmation No.: 7695

For:

METHOD FOR SELECTING MEDICAL AND BIOCHEMICAL DIAGNOSTIC TESTS USING NEURAL NETWORK RELATED

**APPLICATIONS** 

Art Unit:

2122

Examiner:

Unassigned

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98 .

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

#### Dear Sir:

Because this Supplemental Information Disclosure Statement is filed prior to receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (4 pages) and copies of the cited documents are provided herewith in connection with the above-captioned application.

U.S.S.N. 10/043,356 LaPointe et al. INFORMATION DISCLOSURE STATEMENT

The documents listed on the Form PTO-1449 and supplied herewith are in the English language except items P, AJ, AK, AL, AM and AN, which are in the Japanese language. Item P (Japanese patent 6-119291) is provided with an English language equivalent (item AL). Items AJ, AL, AM and AN are provided with an English language equivalent (accompanying Items AJ, AL, AM and AN, respectively). Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully submitted, HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:

Stephanie L. Seidman, Reg. No. 33,779

Attorney Docket No. 24727-0801F
Address all correspondence to:
Stephanie L. Seidman
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FORM PTO-1449 (Modified)	ATTY, DOCKET NO. 24727-801F	SERIAL NO. 10/043,356
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT LaPointe et al.	
STATEMENT	FILING DATE June 11, 2002	GROUP 2122

#### U.S. PATENT DOCUMENTS

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EXAMINER INITIAL		<del></del>	DO	CUM	ENT N	IUMBE	R		DATE	NAME	CLASS	SUB CLASS	FILING DATE
/WS/	A	D	4	3	4	1	5	3	11/21/00	Anderson et al.	D24	D216	04/20/9B
/WS/	В	4	8	9	4	3	2	6	01/15/90	Matsuure et al.	438	7	04/09/86
/WS/	C	4	9	1	9	В	В	9	04/24/90	Jones et al.	422	40	09/16/88
/WS/	D	5	0	3	6	4	7	9	07/30/95	Prednis et el.	364	580 -	04/20/89
/WS/	E	5	<del>,</del>	9	1	1	7	0	02/25/92	Navot	424	. 9	04/08/89
		5	2	7	9	9	4	1	01/18/94	Lessey	435	7.21.	06/12/92
WS/	<u>F</u>	5	4	7	4	9.	2	7	12/12/95	Anderson at al.	435	7.21	10/19/93
	G	5	4	8	0	7	7	6	01/02/96	Dullien	435	7.9	05/12/94
S/ 7WS	н / ,	5	6	2	3	9	3	8	04/29/97	Garfield	128	733	06/07/95
. /WS/		5	6	.5	0	3	9	4	07/22/97	Tereo et al.	514	14	11/04/93
. /WS/	<u>., î</u>	6	2	8	7	7	2	2	07/31/01	Anderson et al.	600	300	02/03/98
/WS/	K	6	3	9	4	9	5	2	05/28/02	Anderson et al.	600	300	04/20/98

#### FOREIGN PATENT DOCUMENTS

			DO	CUM	ENT N	UMBE	R		DATE	COUNTRY	CLASS	SUB .	Translation Yes No	
/WS/	М	0	1	6	2	2	9	8	08/30/01 (A2)	PCT.				
/WS/	N	٥	3	1	6	9	1	9	07/06/95	EP				
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EXAMINER /Wilbert L. Starks, Jr./	DATE CONSIDERED	09/17/2007

FORM PTO-1449 (Modified)	ATTY, DOCKET NO. 24727-801F	SERIAL NO. 10/043,356
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT LaPointe et al.	
STATEMENT	FILING DATE June 11, 2002	GROUP 2122

#### FOREIGN PATENT DOCUMENTS

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/WS	U	9	-4	1	7	4	0	5	08/04/94	PCT			
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/WS/	w	8	5	1	2	4	0	6	05/11/95	PCT			
/WS/	Х	9	6	0	3	9	2	8	02/15/98	PCT	<u> </u>		
/WS/	Y	9	6	2	8	2	7	3	08/29/96	PCT			
/WS/	ż	9	7	2	9	4	4	7	08/14/97	PCT			
/WS/	AA	8	7	4	5	7.	4	4	12/04/97	PCT		<u> </u>	<del>                                     </del>
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/WS/	AÇ	9	9	3	.9	2	9	8	08/05/99	РСТ			

X - English Translation being provided.

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

ws	AD	Ahner et al., "Fetal fibronectin as a selection criterion for induction of term labor", Am. J. Obstet. Gynecol., 173:1513-1517, 1995
ws	ΑE	Allen et al., "Help for high-risk pregnancies", San Jose Mercury News, February 7, 2003 edition
WS/	AF	Bailit et al., "Reducing the caesarean delivery risk in elective inductions of labour: a decision analysis", Pediatric and Perinatal Epidemiology, 16:90-96, 2002
/WS/	AG	Blanch et al., "The presence of fetal fibronectin in the pervisor of women at term - its role in the assessment of women before labor induction and in the investigation of the physiologic mechanisms of labor", Am. J. Obstet. Gynecol., 174:262-266, 1996
/WS/	АН	Breart et al., "A comparative study of the efficiency of hydroxyprogesterone caproate and of chlormadinone acetate in the prevention of premature labor", Int. J. Gyneacol. Obstet., 16:381-384, 1979
WS/	AI	Castellani et al., "The fibronectin isoform containing the ED-B Oncofetal Domain: A Marker of Angiogenesis", Int. J. Cancer, 59:612-618, 1994

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EXAMINER	/Wilbert L. Starks, Jr./	DATE CONSIDERED	09/17/2007



Sheet 3 of 4

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. SERIAL NO. 10/043,356				
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT LaPointe et al.				
STATEMENT	FILING DATE June 11, 2002	GROUP 2122			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	OTHER ART (meisding Additor)
AJ.	Citation 1: English translation and Japanese version of Mitsubishi Electric Technical Report, Vol. 68, No. 8, Special Edition on "Neural Network Application Technology", August 1994
AK	Citation 2: English Translation and Japanese version of Personal Computer Statistical Analysis Handbook II Multivariate Analysis Edition, Tanaka et al., (Editors), November 1997
AL .	Citation 3: English Translation of Japanese Patent No. 6-119291 (Cited at document D), published April 28, 1994, Kurokawa et al., for "Water Supply Prediction Device"
АМ	Citation 4: English Translation and Japanese version of International Academic Society Article 1999-01322-004, Bibliographic Item Printing, November 12, 2002
AN	Citation 5: English Translation and Japanese version of International Academic Society  Article 1999-00312-010. Bibliographic Item Printing, November 12, 2002
AO	Creasy et al., "Prevention of Preterm Birth", Seminars in Perinatology, 5(3):395-302, 1981
AP	Fuller, William E., "Management of Premature Labor", Clinical Obstetrics and Gynecology, 21(2):533-545, 1978
AΩ	Garite et al., "Fetal fibronectin: A new tool for the prediction of successful induction of labor", Am. J. Obstet. Gynecol., 175:1516-1521, 1996
AR	Goldstein et al., "A mete-analysis of randomized control trials of progestational agents in pregnancy", British J. Obstet. Gynecol., 96:265-274, 1989
AS	Grady, Danise, "Hormone Cuts Risk of Premature Birth", The New York Times, February 7, 2003 Edition
AT	Gyetval et al., "Tocolytics for preterm labor: a systematic review", Obstet. Gynecol., 94(5 Pt 2):869-877, 1999
AU	Halin et el., "Enhancement of the antitumor activity of interleukin-12 by targeted delivery to neovasculature", Nature Biotechnology, 20:264-269, 2002
. AV	Hartikeinen-Sorri et al., "Inefficacy of 17a-Hydroxyprogesterone Caproate in the Prevention of Prematurity in Twin Pregnancy", Obstet. Gynecol., 56(6):692-695, 1980
AW	Hauth et al., "The effect of a 17a-hydroxyprogesterone caproate on pregnancy outcome in an active-duty military population", Am. J. Obstet. Gynecol., 146:187-190, 1983
	AJ AK AL AM AO AP AQ AR AS AT AU AV

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EXAMINER	/Wilbert L. Starks, Jr./	. •	DATE CONSIDERED 09/17/2007
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Sheet 4 of 4

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 24727-801F

APPLICANT
LaPointe et al.

FILING DATE
June 11, 2002

GROUP
2122

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

/WS/	AX	Imai et al., "Significance of fetal fibronectin and cytokine measurement in the cervicovaginal secretions of women at term in predicting term labor and post-term pregnancy", European J. Obster. Gynecol. Reprod. Biol., 97:53-58, 2001
/WS/	AY	Johnson et al., "High-Risk PremeturityProgestin Treatment and Steroid Studies", Obstet. Gynecol., 54(4):412-418, 1979
/WS/	AZ	Johnson et al., "Efficacy of 17a-Hydroxyprogesterone aproate in the prevention of premature labor", N. Eng. J. Med., 293(14):675-680, 1975
/WS/	BA	Kaczmarek et el., "Distribution of Oncofetal Fibronectin Isoforms in Normal Hyperplastic and Neoplastic Human Breast Tissues", Int. J. Cancer, 58:11-16, 1994
WS/	ВВ	Kahn et al., "Preliminary Investigation of a Bayesian Network for Mammographic Diagnosis of Breast Cancer", Proceedings of the 19th Annuel Symposium on Computer Applications in Medical Care, pp. 208-212 (1995)
, /WS/	ВĊ	Kauppila et al., "Suppression of threatened premature labor by administration of cortisol and 17a-hydroxyprogesterone caproste: A comparison with ritodrine", Am. J. Obstet. Gynecol., 138:404-408, 1980
/WS/	BD	Keirse et al., "Progestogen administration in pregnancy may prevent preterm delivery", Br. J. Obstet. Gynecol., 97:149-154, 1990
/WS/	BE	Kiss et el., "Fetal fibronectin as a predictor of term labor: A literature review", Acta Obstet. Gynecol. Scand., 79:3-7, 2000
/WS/	BF	Lockwood et al., "Fetal Fibronectin in Cervical and Vaginal Secretions as a Predictor of Preterm Delivery", New England J. of Medicine, 325(10):569-674, 1991
/WS/	BG	Marty et al., "Cytotoxic targeting of F9 teratocarcinoma tumours with anti-ED-B fibronectin scFv antibody modified liposomes", British J. Cancer, 87:106-112, 2002
ws/	вн	Reijnders et al., "Endocrine effects of 17 alpha-hydroxyprogesterone caproate during early pregnancy: a double-blind clinical trial", Br. J. Obst. Gynaecol., 95:462-468, 1988
/WS/	BI	Rozenberg et al., "Comparison of the Bishop score, ultresonographically measured cervical length, and (etal fibronectin assay in predicting time until delivery and type of delivery at term", Am. J. Obstet. Gynecol., 182:108-113, 2002
/WS/	BJ	Yemini et al., "Prevention of premeture labor by 17o-hydroxyprogesterone caproate", Am. J. Obstet. Gynecol., 151:574-577, 1985

			-
EXAMINER Wilbert L. Starks, Jr./	DATE CONSIDERED	09/17/2007	
			-

OCT 2 2 2002

THE STAMP OF THE U.S. PATENT AND TRADEMARK OFFICE AFFIXED HERETO WILL BE EVIDENCE OF RECEIPT OF THE FOLLOWING (SENT VIA FIRST CLASS PRIORITY MAIL) ON October 18, 2002

Client No.: 24727-801F

Enclosures: 1) Executed Daclaration For Patent Application; 2) Transmittal Latter; 3) This return postcard

Applicant(e): Lapointe et al.
Serial No. 10/043,356 Filed: January 11, 2002
For: METHOD FOR SELECTING MEDICAL AND
BIOCHEMICAL DIAGNOSTIC TESTS USING NEURAL
NETWORK-RELATED APPLICATIONS

SLS:kcc

Attorney's Docket No.: 17101-003006/80

#### ÍNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LaPointe et al.

Art Unit: 2121

Serial No.: 10/043,356

FEB 1 7 2005

Examiner: Wilbert Starks

Filed

Title

Cust. No.

20985

January 11, 2002 Conf. No.: 7695

: METHOD FOR SELECTING MEDICAL AND BIOCHEMICAL DIAGNOSTIC TESTS USING NEURAL NETWORK-RELATED APPLICATIONS

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

RECEIVED MAR 0 2 2005

TRANSMITTAL LETTER

Technology Center 2100

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, Form PTO-1449 (1 page) and cited references for filing in connection with the above-captioned patent application. Because this Supplemental Information Disclosure Statement is filed prior to receipt of a first Office Action on the merits in the abovecaptioned application, no fee for filing is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 06-1050, as stated below:

 $\boxtimes$ 

The Commissioner is hereby authorized to charge the fee for the extension of time and any other fee that may be due in connection with this and the attached papers or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Stephanie Seidman Reg. No. 33,779

Attorney Docket No. 17101-003006/801F

Address all correspondence to:

Stephanie Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (202) 626-7796

email: seidman@fr.com

CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 399318855 US

Date of Deposit February 17, 2005

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

Attorney's Docket No.: 17101-003006/801F

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LaPointe et al.

Art Unit : 2121

Serial No.: 10/043,356

Examiner: Wilbert Starks

Filed

: January 11, 2002

Conf. No.:

7695

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Title

: METHOD FOR SELECTING MEDICAL AND BIOCHEMICAL DIAGNOSTIC

TESTS USING NEOR ACCOUNTY NETWORK-RELATED APPLICATIONS

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Attorney's Docket No.: 17101-003006/801F

FEB 1 7 2005

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Applicant: LaPointe et al.

Art Unit

Serial No.: 10/043,356

Examiner: Wilbert Starks

Filed

: January 11, 2002

Conf. No.:

Cust. No. :

20985

Title

METHOD FOR SELECTING MEDICAL AND BIOCHEMICAL DIAGNOSTIC

TESTS USING NEURAL NETWORK-RELATED APPLICATIONS

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

RECEIVED

MAR 0 2 2005

**Technology Center 2100** 

#### LEMENTAL INFORMATION DISCLOSURE STATEMENT IN **ACCORDANCE WITH 37 C.F.R. §§1.97-1.98**

Dear Sir:

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In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) and copies of the cited references are provided herewith in connection with the above-captioned application.

> CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 399318855 US Date of Deposit February 17, 2005

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Attorney's Docket No.: 17101-003006/801F

Applicant: LaPointe et al.
Serial No.: 10/043,356
Filed: January 11, 2002

The documents listed on the Form PTO-1449 are in the English language, with the exception of Items G and H. Item G (JP 5277119) and Item H (JP 7084981), which are in the Japanese language, are provided with Certified English Translations (Items K and L, respectively). Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references is effective as prior art against the subject application. In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie Seidman Reg. No. 33,779

Attorney Docket No. 17101-003006/801F
Address all correspondence to:
Stephanie Seidman
Fish & Richardson P.C.
12390 El Camino Real
San Diego, California 92130

Telephone: (858) 678-5070 Facsimile: (202) 626-7796 email: seidman@fr.com OIP E 1 7 2005

Substitute Form Programme (Modified)

6 6. Department of Commerce Patent and Trademark Office Attorney's Docket No. 17101-003006/801F

Application No. 10/043,356

List of Patents and Publications for Applicant's Information Disclosure Statement

Applicant LaPointe et al.

Filing Date

Group Art Unit 2121

(37 CFR §1.98(b))

January 11, 2002

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
ÌWS/	Α	20030105731	06/05/03	Lapointe et al.	706	15	06/04/02
/WS/	В	6556977	04/29/03	Lapointe et al.	706	15	08/14/98
/WS/	С	6678669	01/13/04	Lapointe et al.	706	15	08/14/97
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Foreign Patent Documents or Published Foreign Patent Applications											
Examiner	Desig.	Document	Publication	Country or			Trans	slation			
Initial	D	Number	Date	Patent Office	Class	Subclass	Yes	No			
/WS/	F	055591 A1	08/18/93	EP	G06F	15/20					
/WS/	G	5277119	10/26/93	JР	A61B	010/00-	*				
/WS/	Н	7084981	03/31/95	JP	G06F	015/18	*				
	I										
	J										

Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner Initial	Desig. ID	Document				
/WS/	·K	Certified English Translation of Japanese Patent No. JP 5277119, "Cancer Diagnostic Device."				
/WS/	L	Certified English Translation of Japanese Patent No. JP 7084981, "Diagnostic Processing Device."				
	М					
	N					

RECEIVED

MAR 0:2 2005

**Technology Center 2100** 

Examiner Signature Wilbert L. Starks, Jr./

Date Considered

09/17/2007

Applicant: Serial No.: LaPointe *et al.* 10/043,356

Filed:

January 11, 2002

For:

METHOD FOR SELECTING
MEDICAL AND BIOCHEMICAL
DIAGNOSTIC TESTS USING
NEURAL NETWORK-RELATED

**APPLICATIONS** 

Art Unit: Examiner: Unassigned Unassigned

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number

EL 870838635 US

Date of Deposit July 2, 2002

I hereby certify that this paper is being deposited with the United States Postel

"Express Mail Post Office to Addressee"

Service under 37 C.F.R. \$1.10 on the date indicated above and addressed to:

Commissioner for Patents

U.S. Patent and Trademark Office

P.O. Box 2327

Arlington, VA 22202, on this date

07/02/2002 Date

Alicia Bradbury

TRANSMITTAL LETTER

Commissioner for Patents Arlington, VA 22202

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement and Forms PTO-1449 (2 Pages) for filing in connection with the above-identified application. Because this Information Disclosure Statement is filed prior to receipt of a First Office Action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 50-1213, as stated below:

The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted, HELLER EHRMAN WHITE & McAULIFFE LLP

By:

Stephanie Seidman Registration No. 33,779

Attorney Docket No. 24727-801F
Address all correspondence to:
Stephanie Seidman, Esq.
HELLER EHRMAN WHITE & McAULIFFE LLP
4350 La Jolla Village Drive, 7th Floor
San Diego, California 9 2122-1246

Telephone: (858) 450-8400 Facsimile: (858) 587-5360 E-mail: sseidman@HEWM.com

Applicant: Serial No.: LaPointe *et al.* 10/043,358

File d:

January 11, 2002

For:

METHOD FOR SELECTING MEDICAL AND BIOCHEMICAL DIAGNOSTIC TESTS USING NEURAL NETWORK-RELATED

**APPLICATIONS** 

Art Unit: Examiner: Unassigned Unassigned

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail\* Mailing Label Number

EL 870638635 US

Date of Deposit July 2, 2002

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 C.F.R. \$1.10 on the date

indicated above and addressed to:

Commissioner for Patents

U.S. Patent and Trademark Office

P.O. Box 2327

Arlington, VA 22202, on this date.

07/02/2002 Date

Alicia Bradbury

TRANSMITTAL LETTER

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Respectfully submitted,
HELLER EHRMAN WHITE & MCAULIFFE LLP

By:

Stephanie Seidman
Registration No. 33,779

Docket No. 24727-801F
i correspondence to:
Seidman, Esq.
IMAN WHITE & McAULIFFE LLP
I Village Drive, 7th Floor
Jifornia 92122-1246
58) 450-8400
i 587-5360
i @HEWM.com

CENTIFICATE OF MAILING BY \*EXPRESS MAIL\*

Express Mail" Mailing Label Number EL 870838635 US

indicated above and addressed to:

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 2327 Artington, VA 22202, on this data.

07/02/2002

Date

Date of Daposit July 2, 2002
I hereby carrify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressed"
Service under 37 C.F.A. \$1.10 on the date indicated being and oddressed Till

Applicant: Serial No.: LaPointe et al. 10/043,356

Filed:

January 11, 2002

For:

METHOD FOR SELECTING MEDICAL AND BIOCHEMICAL DIAGNOSTIC TESTS USING

NEURAL NETWORK-RELATED

**APPLICATIONS** 

Art Unit: Examiner: Unassigned

Unassigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE

Commissioner for Patents Arlington, VA 22202

Dear Sir:

Since this Supplemental Information Disclosure Statement is filed before the receipt of a first Office Action on the merits for the above-captioned application, no filing fee is due. If it is determined that a fee is due, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213.

WITH 37 C.F.R. §§ 1.97-1.98

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Petent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. The Forms PTO-1449 (2 pages) and cited references.

The documents listed on the Forms PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

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U.S.S.N. 10/043,356 LaPointe *et al.* Supplemental Information Disclosure Statement

Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and information and that they be made of record in the file history of the above-captioned application.

Respectfully submitted, HELLER EHRMAN WHITE & McAULIFFE LLP

By:

Stephanic Seidman Registration No. 33,779

Attorney Docket No. 24727-801F
Address all correspondence to:
Stephanie Seidman, Esq.
HELLER EHRMAN WHITE & McAULIFFE LLP
4350 La Jolla Village Drive, 7th Floor
San Diego, California 92122-1246
Telephane: (858) 450-8400

Telephone: (858) 450-8400 Facsimile: (858) 587-5360 E-mail: sseidman@HEWM.com

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FORM PTO-	ORM PTO-1449 (Modified)								ATTY. DO 24727-80	CKET NO.	SERIAL 10/043,	NO. 356		
LIST OF F									APPLICANT LaPointe et al.					
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, . <u></u>					<del></del>	11.5	: D/	A TEI	NT DOCU	MENTS	-			
EXAMINER DOCUMENT NUMBER					DATE	NAME	CLASS	SUB CLASS	FILING					
/WS/	A	4	7	9	5	0	3	6	01/03/B9	Williams et el.	209	667	06/15	i/87
/WS/	В	5	1	3	0	9	3	6	07/14/92	Shappard et al.	364	551.01	09/14	N30
/WS/	С	5	4	8	1	4	8	1	01/02/95	Frey et al.	364	551.01	11/2	3/92
/WS/	D	5	5	4	-4	3	0	8	08/06/96	Giordano et al.	395	183.02	08/0	2/94
/WS/	E	5	6	9	0	1	0	3	11/25/97	Groth et al.	128	832	08/2	3/96
/WS/	F	5	8	1	7	4	6	1	10/06/98	Austin et al.	435	6	01/03/96	
/WS/	G	5	8	7	8	7	4	6	03/09/99	Lemelson et el.	128	653.1	06/0	B/95
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. /WS/	ı	Systems Monterey, CA, USA, 31 May-3 June 1998 vol.2, pgs. 304-307 (1998)  Brownell, T.A. "Neural Networks for Senor Menagement and Diagnostics," Proceedings of the IEEE 1992 National Aerospace and Electronics Conference, NAECON 1992 [Cat. No. 92CH3158-3] Dayton, OH, USA, 18-22 May 1992, pgs. 923-929 (1992)												
/WS/	J.	Bu	rke,	H.B.	"Eve	lustii Confe	ng A	rtifica e on	Neural Net Neural Netv	tworks for Medic vorks (ICNN'97) , pgs.2494-5 (19	cal Applica (Cat.No.9	tions," Pi	roceed )9]	ings
		/Wilbe				•		•	1		09/17/2	007		
EXAMINE	3								DATE	CONSIDERED				

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 24727-801F SERIAL NO. 10/043,356		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT LaPointe et al.		
STATEMENT	FILING DATE 01/11/02	GROUP 2122	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

/WS/	К	Marko et al., "Automotive Diagnostics Using Trainable Classifiers: Statiscal Testing and Paradigm Selection," (IJCNN) International Joint Conference on Neural Networks [Cat. No.90CH2879-5] San Diego, CA, USA, 17-21 June 1990. vol.1, pgs. 33-8 (1990)
/WS/	L	Michel et al., "Prognosis with Neural Networks Using Statistically Based Feature Sets," Proceedings. 5th Annual IEEE Symposium on Computer-Based Medical Systems [Cat.No.92CH3117-9] Durham, NC, USA, 14-17 June 1992. pgs. 695-702 (1992)
/WS/	М	Ouyang et al., "Using a Neural Network to Diagnose Anterior Wall Myocardial Inferction," Proceedings of the International Conference on Neural Networks (ICNN'97) [Cat. No. 87CH36109] Houston, TX, USA, 9-12 June 1997, pgs. 56-61 (1997)
/WS/	N	Sheppard et al., "A Neural Network for Evaluating Diagnostic Evidence," Proceedings of the IEEE 1991 National Aerospace and Electronics Conference NAECON 1991 (Cat. No.90CH3007-2) Dayton, OH, USA 20-24 May 1991. vol.2, pgs.717-23 (1991)

EXAMINER

/Wilbert L. Starks, Jr./

DATE CONSIDERED

09/17/2007

THE STAMP OF THE U.S. PATENT AND TRADEMARK OFFICE AFFIXED HERETO WILL BE EVIDENCE OF RECEIPT OF THE FOLLOWING ISENT VIA FIRST CLASS PRIORITY MAIL) ON MAT 20, 2002.

Client No.:

Enclosures:

24727-801F (SLS:RHT/mo'n)
TRANSMITTAL LETTER (in dupRoste); PRELIMINARY AMENDMENT; AND

RETURN POSTCARD

Applicant(s): Serial No.:

LEPOINTE, ET AL.

10/043,356

Filed:

JANUARY 11, 2002

METHOD FOR SELECTING MEDICAL AND BIOCHEMICAL DIAGNOSTIC TESTS USING NEURAL NETWORK-RELATED APPLICATIONS

PLEASE STAMP HERE (THANK YOU):

THE STAMP OF THE U.S. PATENT AND TRADEMARK OFFICE AFFIXED HERETO WILL BE EVIDENCE OF RECEIPT OF THE FOLLOWING (BENT VIA FIRST CLASS PRIORITY MAIL) ON APRIL 29, 2002

Client No.: '

24727-801 (SLS:RHT/mo'n)

Enclosures:

TRANSMITTAL LETTER (in duplicate); INFORMATION DISCLOSURE STATEMENT; FORM PTO-1449 (8 PAGES); AND RETURN POSTCARD

Applicant(s): LaPOINTE, ET AL.

Serial No.:

10/043,358

For:

JANUARY 11, 2002

METHOD FOR SELECTING MEDICAL AND BIOCHEMICAL DIAGNOSTIC TESTS

USING NEURAL NETWORK-RELATED APPLICATIONS

PLEASE STAMP HERE ITHANK YOU!



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Serial No.: LaPointe et al. 10/043,356

Filed:

January 11, 2002

For:

METHOD FOR SELECTING MEDICAL AND BIOCHEMICAL DIAGNOSTIC TESTS USING NEURAL NETWORK-RELATED

**APPLICATIONS** 

Art Unit:

Unassigned

Arlington, VA 22202, on this date.

U.S. Petent and Tredemark Office

I hereby cartify that this paper and the attached

Postal Service as first class mail in an envelope

papers are being deposited with the United Stetes

04/23/02 Date

P.O. Box 2327

Examiner:

Unassigned

TRANSMITTAL LETTER

Commissioner for Patents Arlington, VA 22202

Dear Sir:

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Respectfully submitted, HELLER EHRMAN WHITE & MCAULIFFE LLP

By:

Stephakib Seidman Registration No. 33,779

Attorney Docket No. 24727-801F Address all correspondence to: Stephanie Seidman, Esq. HELLER EHRMAN WHITE & McAULIFFE LLP 4350 La Jolla Village Drive, 7th Floor San Diego, California 92122-1246

Telephone: (858) 450-8400 Facsimile: (858) 587-5360 E-mail: sseidman@HEWM.com

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Serial No.:

LaPointe et al. 10/043,356

Filed:

January 11, 2002

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METHOD FOR SELECTING MEDICAL AND BIOCHEMICAL DIAGNOSTIC TESTS USING NEURAL NETWORK-RELATED

APPLICATIONS

Art Unit: Examiner:

Unassigned Unassigned

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to: U.S. Patent and Trademark Office

P.O. Box 2327 Arlington, VA 22202, or

INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. § § 1.97-1.98

Commissioner for Patents Arlington, VA 22202

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The documents listed on the Forms PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following co-pending U.S. and International applications that have one or more common inventors and/or one or more common owners:

U.S.S.N. 10/043,356 LaPointe *et al.* Information Disclosure Statement

U.S.S.N. 08/912,133 09/134,636 09/063,497 09/717,478 09/717,355 09/149 183	Filing Date 08/14/97 08/14/98 04/20/98 11/20/00 11/20/00	Docket No. 801C 801D 813B 813C 813D
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Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and information and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,
HELLER EHRMAN WHITE & MCAULIFFE LLP

By:

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ATTY. DOCKET NO. 24727-801F

SERIAL NO. 10/043,356

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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English Abstract included

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/Wilbert L. Starks, Jr./

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FORM PTO-1449 (Modified)

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